

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----	<b>X</b>
	:
<b>In re</b>	:
	:
<b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b>	:
	:
<b>Debtors.</b>	:
	:
	:
	:
	:
-----	<b>X</b>

**Chapter 11**  
**Case No. 08-13555 (SCC)**  
**(Jointly Administered)**  
**Ref. Docket Nos. 60396-60398**

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

WING CHAN, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Corporate Restructuring, LLC<sup>1</sup>, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On February 7, 2020, I caused to be served the “Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4),” dated February 7, 2020, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.

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<sup>1</sup> Epiq Bankruptcy Solutions, LLC, is now known as Epiq Corporate Restructuring, LLC.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Wing Chan  
Wing Chan

Sworn to before me this  
10<sup>th</sup> day of January, 2020

/s/ Forrest Kuffer

Notary Public, State of New York  
No. 01KU6369117  
Qualified in Kings County  
Commission Expires January 26, 2021

## **EXHIBIT A**

In re  
  
LEHMAN BROTHERS HOLDINGS INC., et al.,  
  
Debtors.

Chapter 11 Case No.  
  
08-13555 (SCC)  
  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000161112998 \*\*\* LBH TRFNTC (MERGE2, TXNUM2) 4000087250



HYPOSWISS PRIVATBANK AG  
SCHUTZENGASSE 4  
ZURICH CH-8021  
SWITZERLAND

Please note that your claim # 40660 in the above referenced case and in the amount of  
\$190,000.01 allowed at \$105,974.10 has been transferred (unless previously expunged by court order)

MULTIPLICITY PARTNERS AG  
TRANSFEROR: HYPOSWISS PRIVATBANK AG  
BODMERSTRASSE 5  
8002 ZURICH  
SWITZERLAND

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 60398 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 02/07/2020

Vito Genna, Clerk of Court

/s/Andrea Speelman

\_\_\_\_\_  
Epiq Corporate Restructuring, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on February 7, 2020.

## **EXHIBIT B**

Claim Name	Address Information
HYPOSWISS PRIVATBANK AG	SCHUTZENGASSE 4, ZURICH CH-8021 SWITZERLAND
HYPOSWISS PRIVATBANK AG	SCHUTZENGASSE 4, ZURICH CH-8021 SWITZERLAND
MULTIPLICITY PARTNERS AG	TRANSFEROR: HYPOSWISS PRIVATBANK AG, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND
MULTIPLICITY PARTNERS AG	TRANSFEROR: HYPOSWISS PRIVATBANK AG, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND
MULTIPLICITY PARTNERS AG	TRANSFEROR: ST. GALLER KANTONALBANK, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND
MULTIPLICITY PARTNERS AG	TRANSFEROR: ST. GALLER KANTONALBANK, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND
MULTIPLICITY PARTNERS AG	TRANSFEROR: ST. GALLER KANTONALBANK, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND
MULTIPLICITY PARTNERS AG	TRANSFEROR: ST. GALLER KANTONALBANK, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND
MULTIPLICITY PARTNERS AG	TRANSFEROR: ST. GALLER KANTONALBANK AG, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND
MULTIPLICITY PARTNERS AG	TRANSFEROR: ST. GALLER KANTONALBANK AG, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND
MULTIPLICITY PARTNERS AG	TRANSFEROR: ST. GALLER KANTONALBANK AG, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND
MULTIPLICITY PARTNERS AG	TRANSFEROR: ST. GALLER KANTONALBANK AG, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND
MULTIPLICITY PARTNERS AG	TRANSFEROR: ST. GALLER KANTONALBANK AG, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND
MULTIPLICITY PARTNERS AG	TRANSFEROR: ST. GALLER KANTONALBANK AG, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND
MULTIPLICITY PARTNERS AG	TRANSFEROR: ST. GALLER KANTONALBANK AG, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND
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MULTIPLICITY PARTNERS AG	TRANSFEROR: ST. GALLER KANTONALBANK AG, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND
MULTIPLICITY PARTNERS AG	TRANSFEROR: ST. GALLER KANTONALBANK AG, BODMERSTRASSE 5, 8002 ZURICH SWITZERLAND
ST. GALLER KANTONALBANK	TRANSFEROR: UBS AG, ST. LEONHARDSTRASSE 25, ST. GALLER CH-9001 SWITZERLAND
ST. GALLER KANTONALBANK	TRANSFEROR: UBS AG, ST. LEONHARDSTRASSE 25, ST. GALLER 9000 SWITZERLAND
ST. GALLER KANTONALBANK	TRANSFEROR: UBS AG, ATTN: ANINA ZURCHER / SFCA, ST. LEONHARDSTRASSE 25, 9001 ST. GALLER SWITZERLAND
ST. GALLER KANTONALBANK	TRANSFEROR: UBS AG, ATTN: ANINA ZURCHER / SFCA, ST. LEONHARDSTRASSE 25, 9001 ST. GALLER SWITZERLAND
ST. GALLER KANTONALBANK AG	TRANSFEROR: HYPOSWISS PRIVATBANK AG, ST. LEONHARDSTRASSE 25, ST. GALLER, 9000 SWITZERLAND
ST. GALLER KANTONALBANK AG	TRANSFEROR: UBS AG, ST. LEONHARDSTRASSE 25, ST. GALLER 9001 SWITZERLAND
ST. GALLER KANTONALBANK AG	TRANSFEROR: HYPOSWISS PRIVATBANK AG, ST. LEONHARDSTRASSE 25, ST. GALLER, 9000 SWITZERLAND
ST. GALLER KANTONALBANK AG	TRANSFEROR: UBS AG, ST. LEONHARDSTRASSE 25, ST. GALLER 9001 SWITZERLAND
ST. GALLER KANTONALBANK AG	TRANSFEROR: UBS AG, ST. LEONHARDSTRASSE 25, ST. GALLER 9001 SWITZERLAND
ST. GALLER KANTONALBANK AG	TRANSFEROR: UBS AG, ST. LEONHARDSTRASSE 25, ST. GALLER 9001 SWITZERLAND
ST. GALLER KANTONALBANK AG	TRANSFEROR: UBS AG, ST. LEONHARDSTRASSE 25, ST. GALLER 9001 SWITZERLAND
ST. GALLER KANTONALBANK AG	TRANSFEROR: UBS AG, ATTN: ANINA ZURCHER / SFCA, ST. LEONHARDSTRASSE 25, 9001 ST. GALLER SWITZERLAND
ST. GALLER KANTONALBANK AG	TRANSFEROR: UBS AG, ATTN: SFCA, ST. LEONHARDSTRASSE 25, ST. GALLER 9001 SWITZERLAND
ST. GALLER KANTONALBANK AG	TRANSFEROR: UBS AG, ST. LEONHARDSTRASSE 25, 9001 ST GALLER SWITZERLAND

SERVICE LIST  
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Claim Name	Address Information
ST. GALLER KANTONALBANK AG	TRANSFEROR: UBS AG, ST. LEONHARDSTRASSE 25, ST. GALLER 9001 SWITZERLAND
ST. GALLER KANTONALBANK AG	TRANSFEROR: UBS AG, ATTN: ANINA ZURCHER / SFCA, ST. LEONHARDSTRASSE 25, ST. GALLER 9001 SWITZERLAND

**Total Creditor Count 36**